

# Welcome to National Bank Independent Network

Having a reliable wealth management partner is key to reaching your financial goals and your Investment Dealer conducted a thorough review to find a creditable, experienced Carrying Broker – National Bank Independent Network (NBIN).



## Your relationship with NBIN

National Bank Independent Network offers a suite of premium products and services that allows your Investment Dealer to spend more time with you and manage your portfolio, and less time on day-to-day administration.

For more than 30 years, we have been Canada's premier provider of custody and brokerage solutions to independent Investment Dealers and Portfolio Managers.

### About NBIN

- Canada's leading provider of custody, trade execution and brokerage solutions to independent investment firms
- Over 30 years of continuous service and a team of more than 200 professionals
- Supporting more than 400 firms across Canada
- More than \$300 billion in assets under administration
- Backed by the financial strength of National Bank, providing financial services to individuals, corporations and governments since 1859, making it one of Canada's oldest financial institutions

# Your Investment Dealer and NBIN

Your Investment Dealer provides you with advice on investing, including buying or selling securities. As Carrying Broker, National Bank Independent Network acts on the instructions provided by your Investment Dealer.

## Investment Dealer

- Manages your investments
- Supports your calls and requests for information about your account
- Coordinates all activities with National Bank Independent Network

## Carrying Broker

- Provides custody services for your assets
- Segregates your securities
- Maintains accurate records of your trade confirmations and account holdings/statements
- Prepares tax documents

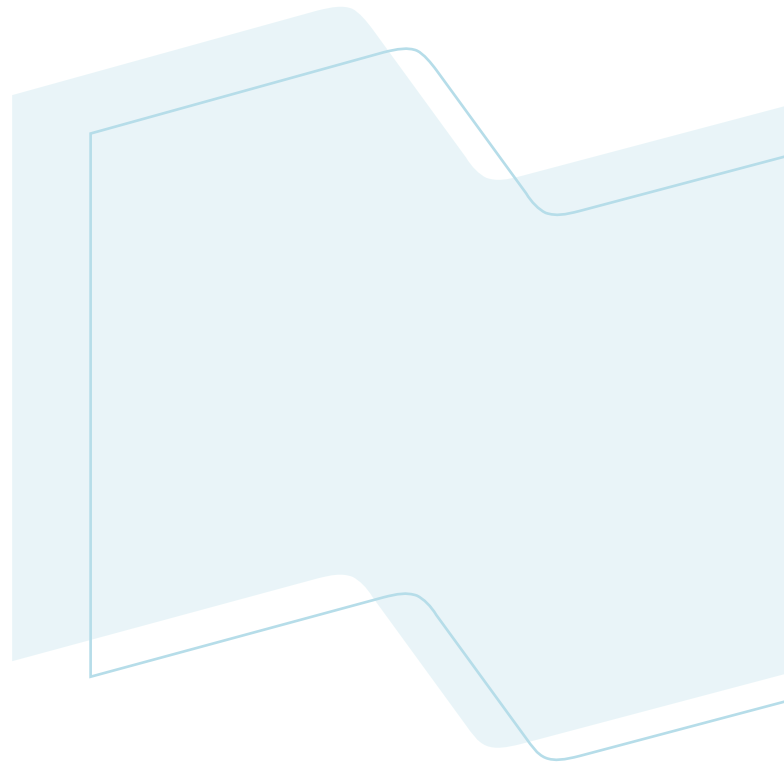
# Benefits of NBIN

National Bank Independent Network provides your Investment Dealer with the tools and resources needed to offer you exceptional services. These include:

- A full range of investment products and account types
- A dedicated service team for fast response to account enquiries
- Research, technology and specialized administrative support
- Leading-edge trading platforms
- Access to block trading desks to ensure best execution on large orders
- Technology to monitor accounts and place transactions
- Trade settlement and monthly custody reporting

# Regulatory overview

- National Bank Independent Network is a division of NBF, as well as a trademark owned by National Bank of Canada (NBC)
- National Bank of Canada is a federally regulated Schedule A bank and a public company listed on the Toronto Stock Exchange (TSX: NA)
- NBIN is a member of and regulated by the Canadian Investment Regulatory Organization (CIRO), the national self-regulatory organization that oversees all investment dealers and trading activity on debt and equity marketplaces in Canada
- NBIN is a member of the Canadian Investor Protection Fund (CIPF) that provides coverage (within specified limits)



National Bank Independent Network (NBIN) is a division of National Bank Financial Inc. (NBF Inc.), as well as a trademark owned by National Bank of Canada (NBC) that is used under license by NBF Inc. NBF Inc. is a member of the Canadian Investment Regulatory Organization (CIRO) and the Canadian Investor Protection Fund (CIPF). Custody services accessed through NBIN are provided by either NBF Inc. or its affiliates National Bank Trust (NBT) or Natcan Trust Company (Natcan). NBF Inc., NBT and Natcan are all subsidiaries of NBC, a public company listed on the Toronto Stock Exchange (TSX: NA).

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